STEREOSELECTIVE PREPARATION OF INDOLOQUINOLIZIDINE N-OXIDES: PREDOMINANT CONFORMATIONS.

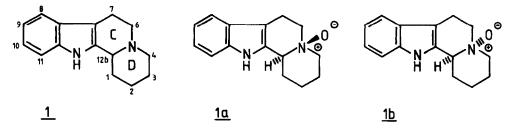
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<u>Abstract</u> - Stereoselective preparation of indoloquinolizidine N-oxides possessing the N<sub>b</sub>-oxygen - C(12b)-hydrogen relationship, <u>trans</u> or <u>cis</u> at will, is described. Contribution of the different conformations to the conformational equilibrium is studied by <sup>13</sup>C NMR spectroscopy, and significance of the N<sub>b</sub>-oxygen - C(12b)-hydrogen relationship for the regioselective transformation of indoloquinolizidine N-oxides to the corresponding iminium ions is discussed.

Indoloquinolizidine N-oxides are important intermediates in indole alkaloid syntheses, because they can be used as precursors for iminium ions.<sup>1-7</sup> The relationship between the  $N_b$ -oxygen and the neighbouring hydrogens [C(4)H, C(6)H and C(12b)H] is known to direct the initial iminium ion formation.<sup>8</sup> The ease with which these iminium ions are isomerized<sup>9</sup> makes it difficult, however, to study the regioselectivity of the iminium ion formation by trapping methods.<sup>10</sup>

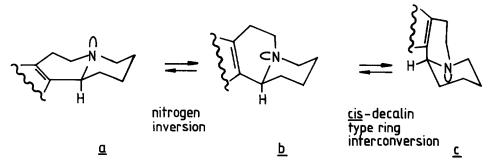


Indologuinolizidine <u>1</u>, and similar compounds, can form two N-oxides differing in the relationship between the  $N_b$ -oxygen and the C(12b)-hydrogen (compound <u>1a</u>, <u>trans</u>; compound <u>1b</u>, <u>cis</u>). Simultaneously, the ring juncture between the C and D rings (C/D ring juncture) becomes determined (compound <u>1a</u>, C/D <u>trans</u> juncture; compound <u>1b</u>, C/D <u>cis</u> juncture).

To be able to draw more accurate conclusions concerning the regioselectivity in iminium ion formation we considered it important to know more about the stereoselective preparation and predominant conformations of indologuinolizidine  $N_b$ -oxides. This is the subject of the present paper.

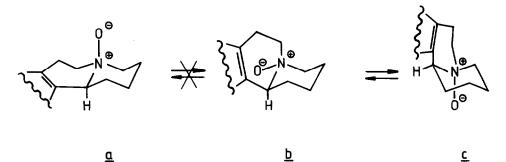
The N<sub>b</sub>-oxides of the present study were prepared from the corresponding indoloquinolizidines by combining mCPBA-oxidation, BOC-protection, BOC-deprotection, and catalytic hydrogenation procedures (<u>vide infra</u>). <sup>13</sup>C NMR spectroscopy was used to determine the structures and predominant conformations of the N<sub>b</sub>-oxides, mainly taking advantage of the N-O  $\gamma$ -effects on C(1), C(3) and C(7).<sup>8</sup>

An indologuinolizidine system of the present type can exist in three conformations with equilibration by nitrogen inversion and <u>cis</u>-decalin type ring interconversion (Scheme 1).<sup>11-14</sup> Normally, conformations <u>a</u> and <u>c</u> are assumed to predominate in the conformational equilibrium and conformation <u>b</u> is considered to be just a "transition state" between <u>a</u> and <u>c</u>.<sup>13</sup> The relative contributions of conformations <u>a</u> and <u>c</u> are strongly influenced by the substitution pattern.



# Scheme 1

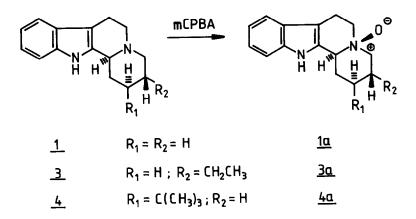
In the corresponding indologuinolizidine N-oxides the C/D ring juncture (<u>trans</u> or <u>cis</u>) (<u>vide</u> <u>supra</u>) is fixed. Thus, there is no equilibrium between conformations <u>a</u> and <u>b/c</u>. Transition between conformations <u>b</u> and <u>c</u> is possible, however (Scheme 2).

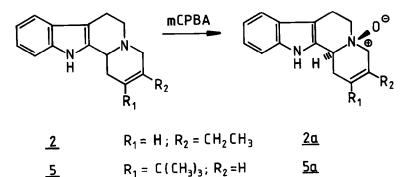


Scheme 2

#### RESULTS AND DISCUSSION

Compounds <u>1</u>, <u>2</u>, <u>3</u>, <u>4</u> and <u>5</u> normally exist predominantly in conformation <u>a</u> (<u>vide supra</u>). Oxidation of these compounds with mCPBA yields N-oxides <u>1a</u>, <u>2a</u>, <u>3a</u>, <u>4a</u> and <u>5a</u>, respectively (C/D <u>trans</u> juncture) (Schemes 3 and 4), which, as expected, show negative  $\gamma$ -effects in <sup>13</sup>C NMR spectra (Fig. 1) in all three  $\gamma$ -positions. However, the upfield shifts in C(1) (-6.6 to -7.9 ppm) and C(3) (-5.2 to -7.1 ppm) are exceptionally large. Szántay<sup>8</sup> has found in the eburnamonine-vincamine series, on average, -3 ppm shifts in all directions. In a similar way, the open-chain derivative <u>18a</u> [prepared from compound <u>18</u> (Scheme 5)] shows  $\gamma$ -effects -2.7 ppm [C(1)], -4.1 ppm [C(3)] and -4.6 ppm [C(7)], differing thereby considerably from compound <u>2a</u>.

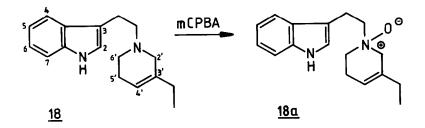




<u>5</u>  $R_1 = C(CH_3)_3; R_2 = 1$ 

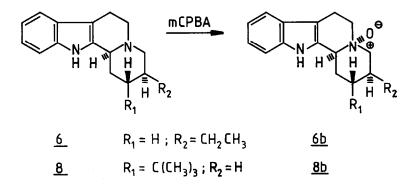
Scheme

4

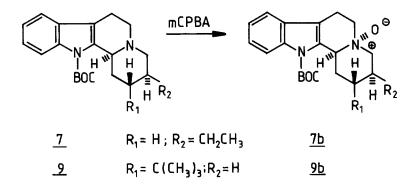


Scheme 5.

Oxidation of compounds <u>6</u>, <u>7</u>, <u>8</u> and <u>9</u> yields N-oxides <u>6b</u>, <u>7b</u>, <u>8b</u> and <u>9b</u> (C/D <u>cis</u> juncture) (Schemes 6 and 7) existing mainly in conformation <u>c</u> (<u>vide</u> <u>supra</u>). Only in the case of 2-<u>tert</u>-butyl derivatives <u>8b</u> and <u>9b</u> is the estimation of  $\gamma$ -effects straightforward, since the starting compounds (<u>8</u> and <u>9</u>) and the products <u>8b</u> and <u>9b</u> are predominantly in the same conformation. The observed shifts are in good agreement with theory, C(7) showing positive  $\gamma$ -<u>trans</u> effects. The predominant conformation of the ethyl derivative <u>7</u> is most probably <u>a</u> where the D-ring adopts a boat form (<u>cf</u>. Refs. 15-18). In the case of compound <u>6</u> a strong contribution of conformation <u>c</u> to the conformational equilibrium is evident.



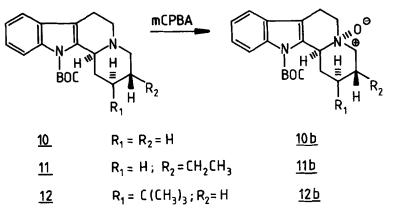
Scheme 6



#### Scheme 7

An exceptional result was obtained when BOC-protected compounds  $\underline{10}$ ,  $\underline{11}$ , and  $\underline{12}$  (vide infra) were oxidized (Scheme 8). The <sup>13</sup>C NMR spectra of the products (<u>10b</u>, <u>11b</u>, and <u>12b</u>) were totally different from the spectra of the products (<u>1a</u>, <u>3a</u>, and <u>4a</u>) of the corresponding unprotected compounds <u>1</u>, <u>3</u> and <u>4</u> even though the spectra of the starting compounds (<u>1</u>, <u>3</u> and <u>4</u> versus <u>10</u>, <u>11</u> and <u>12</u>) differed only slightly from each other except for the upfield shift (~ 5 ppm) of C(6) in the BOC-protected compounds. A plausible explanation is a drastic difference in conformation between the protected and unprotected

compounds, with much greater effects on the  $^{13}\mathrm{C}$  NMR spectra of the N-oxides than their unoxidized counterparts.



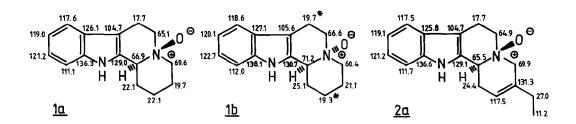
# Scheme 8

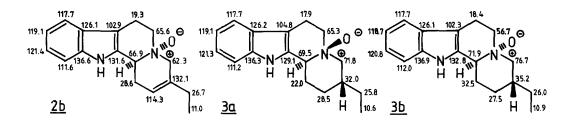
The <sup>13</sup>C NMR values of compounds <u>10b</u>, <u>11b</u> and <u>12b</u> (Fig. 1) seem to be perfectly suited to the structures proposed for them (C/D ring juncture <u>cis</u>) conformation <u>b</u> predominating. In conformation <u>b</u>, one would expect the  $\gamma$ -effects to be negative towards C(7) and positive towards C(1) and C(3). And this is in fact the case, except for C(3) which shows practically no  $\gamma$ -effect. Consideration of the  $\beta$ -effects provides still more evidence for conformation <u>b</u>. In the conformation <u>b</u> the N-O group is axial with respect to ring C and equatorial with respect to ring D, reversely to the situation in conformation <u>c</u>. The  $\beta$ -effects should therefore be high for C(1) and C(4) and low for C(6).<sup>8</sup> In agreement with this, the observed effects for compounds <u>10b</u>, <u>11b</u> and <u>12b</u> range from 13.5 to 15.9 ppm for C(1) and C(4) and from 6.2. to 7.2 ppm for C(6). If it is accepted that conformation <u>b</u> is the predominating conformation in compounds <u>10</u>, <u>11</u> and <u>12</u>, this would also explain the upfield shift of C(6) and slight upfield shift of C(3) [C(3) approaches C(6) in conformation <u>b</u>]. Conformation <u>b</u> thus seems to be favoured over conformation <u>a</u> in the BOC-protected series.

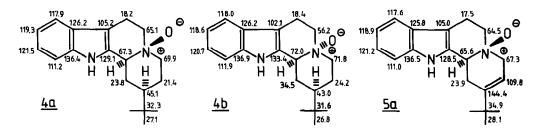
The double bond compound <u>13</u> yielded a mixture of two N-oxides (~ 2:1) (Scheme 9). The main product was the <u>cis</u>-isomer <u>13b</u> existing mainly in conformation <u>b</u> and the minor product the <u>trans</u>-isomer <u>13a</u>. Similarly, compound <u>14</u> yielded two N-oxides (~ 1:1) <u>14a</u>, and <u>14b</u>, the latter existing mainly in conformation <u>b</u>.

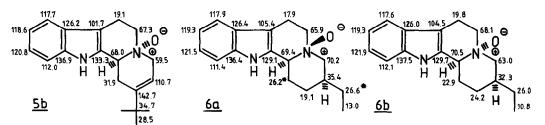
The oxidation of compound <u>15</u> (apparently existing mainly in conformation <u>b</u>), prepared from compound <u>16</u> by (BOC)<sub>2</sub>O treatment (<u>vide infra</u>), yielded only one product, the <u>cis</u>-isomer <u>15b</u>, mainly existing in conformation <u>b</u>. The unprotected counterpart <u>16b</u> was formed as the only product in the oxidation of compound <u>16</u>, which in its turn was prepared from compound <u>17</u> by mCPBA oxidation and modified Polonovski reaction. Compound <u>16b</u> could equally well be formed from compound <u>15b</u> by HCOOH treatment (Scheme 10). The predominant conformation of compound <u>16b</u> is somewhat problematic. Its <sup>13</sup>C NMR values, especially those of C(4), C(6) and C(7) (see Fig. 1), differ from those of compound <u>15b</u>, pointing to a distorted conformation <u>b</u>.

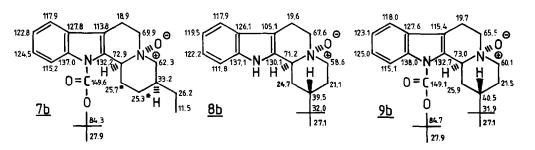
Except for compound <u>16b</u>, deprotection of those BOC-protected N-oxides, which exist in conformation <u>b</u>, yielded compounds (Schemes 11 and 12) different



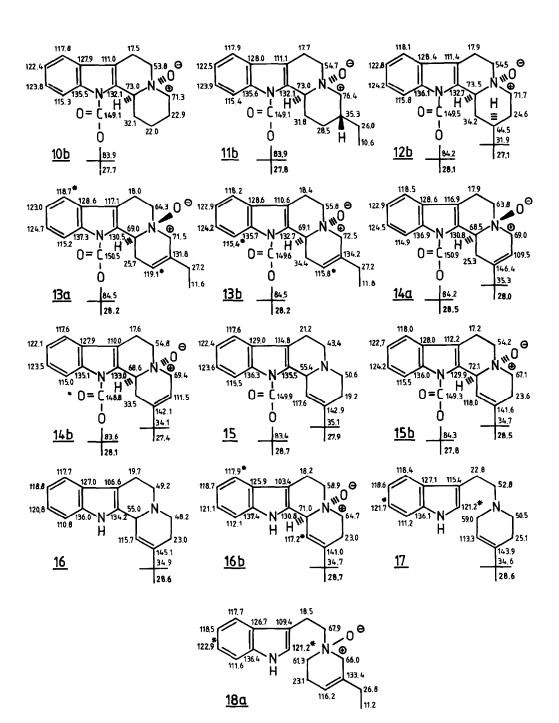


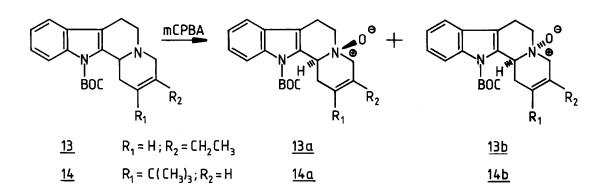




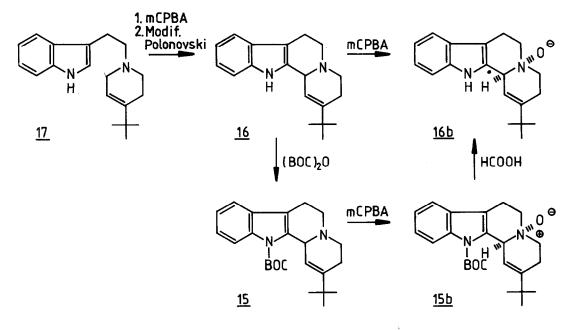






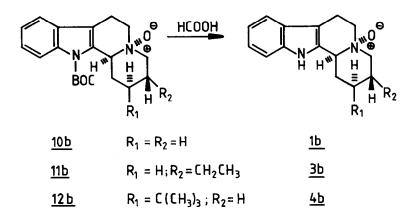


from those formed by direct oxidation (<u>cf</u>. Schemes 3 and 4). This is because the C/D ring juncture (<u>cis</u> or <u>trans</u>) is not affected in deprotection. Which conformation, <u>b</u> or <u>c</u>, predominates in compounds possessing the <u>cis</u> C/D ring juncture depends on the substitution pattern.

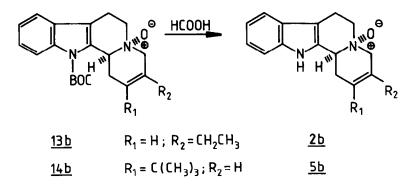


## Scheme 10

Cleavage of compound <u>11b</u> yielded compound <u>3b</u> (Scheme 11). These two compounds give very similar <sup>13</sup>C NMR spectra (Fig. 1), and we conclude that both exist predominantly in conformation <u>b</u> (C/D <u>cis</u> juncture) with equatorial ethyl groups. The same is true even more pronouncedly for compounds <u>12b</u> and <u>4b</u> with equatorial <u>tert</u> butyl groups in conformation <u>b</u>.



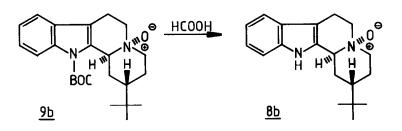
The two double bond N-oxides <u>13b</u> and <u>14b</u> yielded, after HCOOH treatment, compounds <u>2b</u> and <u>5b</u>, respectively (Scheme 12). Their predominant conformation is <u>c</u>, which seems to be the preferred one for unprotected compounds (C/D <u>cis</u> juncture) unless it causes extra strain. Compound <u>1b</u>, formed from compound <u>10b</u> by HCOOH cleavage (Scheme 11), gives a <sup>13</sup>C NMR spectrum (Fig. 1) with several aliphatic carbons poorly resolved, probably due to the slowness of the transition at room temperature between conformations <u>b</u> and <u>c</u> (predominant).



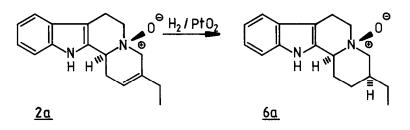
### Scheme 12

Two pairs of compounds, <u>6b</u> and <u>7b</u>, and <u>8b</u> and <u>9b</u>, exist in conformation <u>c</u> (<u>vide supra</u>), which thus turns out to be predominant for the BOC-protected compounds <u>7b</u> and <u>9b</u> (the substitution pattern disfavours conformation <u>b</u>). Deprotection of compound <u>9b</u> yielded <u>8b</u> as expected (Scheme 13), proving that no extra reactions took place during the HCOOH treatments.

To obtain as many isomers in the unprotected ethyl and <u>tert</u> butyl series as possible, we took advantage of the known stereoselectivity<sup>7,19,20</sup> of catalytic hydrogenation of compounds of type 2 and 14. Hydrogenation of compound 2a did, indeed, yield <u>6a</u>, having the C/D <u>trans</u> juncture, which could not be achieved by direct oxidation (Scheme 14). It is interesting, that <sup>13</sup>C NMR



Scheme 13



provides strong evidence that compound <u>6a</u> exists in a form where the D-ring adopts a slightly twisted boat conformation (Fig. 2) (<u>cf</u>. Refs. 15-18). Thus, its <sup>13</sup>C NMR spectrum is very similar to that of compound <u>3a</u> (Fig. 1), both compounds having equatorial ethyl groups. The only notable differences are that the C(2) signal is shifted upfield in compound <u>6a</u> due to the proximity of the C(2) $\beta$ H to the N-O group (Fig. 2) and the  $\gamma$ -effects on C(1) and C(3) are smaller in compound <u>6a</u> than in compound <u>3a</u>.

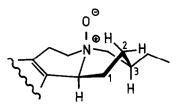
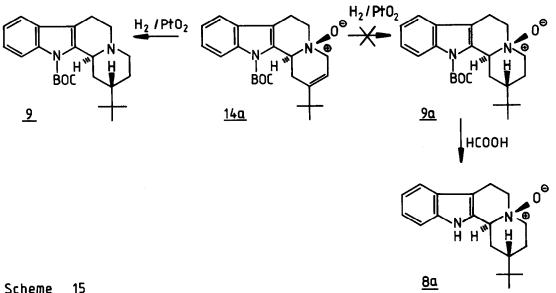


Fig. 2

Because of the simultaneous reduction of the N-oxide and the  $\Delta^{2(3)}$  double bond, hydrogenation of the BOC-protected compound <u>14a</u> led to compound <u>9</u>. As a result, we were unable to prepare either compound <u>9a</u>, the expected  $\overline{\Delta}^{2(3)}$ double bond hydrogenation product of compound <u>14a</u>, or compound <u>8a</u>, the expected deprotection product of compound <u>9a</u> (Scheme 15).



### CONCLUSIONS

It has been shown, that conformation  $\underline{b}$ , rather than conformation  $\underline{a}$  is preferred for BOC-protected indologuinolizidines. If the substitution pattern is unfavourable for these conformations, the molecules adopt conformation  $\underline{c}$ , or, in some cases, conformation  $\underline{a}$ , where the ring D is in a boat form.

N-oxides possessing the C/D ring juncture <u>cis</u> or <u>trans</u> could be formed from indoloquinolizidines with good stereoselectivity. In many cases the desired stereochemistry was obtained by combining mCPBA-oxidation, BOC-protection, BOC-deprotection, and catalytic hydrogenation procedures (vide supra).

Knowing the exact conformation of the indologuinolizidine N-oxides might help to predict their behaviour in iminium ion formation (preference for <u>trans</u> diaxial elimination). This is important in the use of iminium ions as intermediates in alkaloid syntheses. In theory, conformation <u>a</u> (C/D <u>trans</u> juncture) should lead to iminium ion formation towards C(4), C(6) and C(12b), conformation <u>b</u> (C/D <u>cis</u> juncture) towards C(6) and conformation <u>c</u> (C/D <u>cis</u> juncture) towards C(4)<sup>21</sup>. Studies on the applicability of this principle to the preparation of different indole alkaloid structures are in progress.

#### EXPERIMENTAL

IR spectra were recorded with a Perkin-Elmer 700 spectrophotometer using liquid film between NaCl crystals. IR absorption bands are expressed in reciprocal centimetres (cm<sup>-1</sup>). <sup>1</sup>H and <sup>13</sup>C NMR spectra were measured with a Jeol JNM-FX 60 spectrometer working at 59.80 MHz (<sup>1</sup>H NMR) and 15.04 MHz (<sup>13</sup>C NMR). Chemical shift data are given in ppm downfield from TMS. Abbreviations s, d, t, m, br and def are used to designate singlet, doublet, triplet, multiplet, broad and deformed, respectively. For the <sup>13</sup>C NMR data, see Fig. 1. Mass spectrometry was done on a Jeol DX 303/DA 5000 instrument.

#### Preparation of compound 17

1.10 g (3.06 mmol) of the pyridinium salt prepared from tryptophyl bromide and  $4-\underline{tert}$ -butyl pyridine was reduced with 0.30 g (2.6 equiv.) of NaBH<sub>4</sub> in a mixture of 8 ml of MEOH and 2 ml  $H_2O$ . After 3 h, 20 ml of  $H_2O$  was added and stirring was continued for 0.5 h. Extraction with  $CH_2Cl_2$  yielded almost pure compound 17, which was used for the preparation of compound 16 without further purification.

Y. 96%. Mp. 118°C (Lit.<sup>22</sup> Mp. 120°C). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.00 [9H, s,  $-C(CH_3)_3$ ], 5.43 (1H, br s, H-3'), 6.79 (1H, s, H-2), 7.00 - 7.64 (4H, m, H-4, 5, 6, 7), 9.11 (1H, br s, NH). MS: 282 (M<sup>+</sup>), 152 (100%), 144, 130; exact mass: 282.2104 (calc. for  $C_{19H_26N_2}$ : 282.2096).

Preparation of compound 16

0.695 g (2.46 mmol) of compound  $\underline{17}$  was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (10 ml) and mCPBA (1.1 equiv.) was added as such. After stirring for 3 h, TFAA (2.5 equiv.) was added dropwise at  $-17^{\circ}$ C. Stirring was continued at r.t. for 2 h. Then 100 ml of 50% HOAc was added. After 2 d, the reaction mixture was evaporated to dryness. The residue was thoroughly shaken with a mixture of 2N Na<sub>2</sub>CO<sub>3</sub> and CH<sub>2</sub>Cl<sub>2</sub>. Extraction yielded compound <u>16</u>, which was purified by column chromatography (silica, CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 95/5).

Y. 56%. Amorphous material. <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.05 [9H, s,  $-C(CH_3)_3$ ], 4.39 (1H, br s, H-12b), 5.67 (1H, br s, H-1), 6.95 - 7.55 (4H, m, H-8, 9, 10, 11), 8.39 (1H, br s, NH). MS: 280 (M<sup>+</sup>), 279 (100%), 265, 223; exact mass: 280.1943 (calc. for  $C_{19}H_{24}N_2$ : 280.1939).

Preparation of compound 15 0.285 g (1.02 mmol) of compound 16 was stirred with p-dimethylamino pyridine (DMAP) (0.1 equiv.) and di-t-butyl dicarbonate [(BOC)<sub>2</sub>O] (1.2 equiv.) for 4 h. The reaction mixture was evaporated and purified by column chromatography (silica, CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 95/5).

Y. 68%. Amorphous material. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.05 [9H, s,  $-C(CH_3)_3$ ], 1.68 [9H, s,  $-O(CH_3)_3$ ], 5.14 (1H, br s, H-12b), 5.62 (1H, s, H-1), 7.16 - 7.45 (3H, m, H-8, 9, 10), 8.14 - 8.31 (1H, m, H-11). MS: 380 (M<sup>+</sup>), 323 (100%), 267; exact mass: 380.2490 (calc. for C<sub>24</sub>H<sub>32</sub>N<sub>2</sub>O<sub>2</sub>: 380.2464).

Preparation of compounds <u>1a</u>, <u>2a</u>, <u>3a</u>, <u>4a</u>, <u>5a</u>, <u>6b</u>, <u>7b</u>, <u>8b</u>, <u>9b</u>, <u>10b</u>, <u>11b</u>, <u>12b</u>, <u>13a</u>, <u>13b</u>, <u>14a</u>, <u>14b</u>, <u>15b</u>, <u>16b</u> and <u>18</u>

General procedure: 1 Mmol of the corresponding indologuinolizidine (reference to literature is given in parenthesis for each compound) was dissolved in 5 ml of CH<sub>2</sub>Cl<sub>2</sub>. mCPBA (1.1 equiv.) was added and the solution was stirred for 4 h. The N-oxide was obtained by passage through a column of alumina according to Craig and Purushothaman<sup>23</sup> (CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 95/5).

Compound <u>1a</u> (prepared from compound  $\underline{1}^{24}$ ) Y. 30%. Mp. 211 - 212°C (lit.<sup>9</sup> Mp. 210.5 - 211.5°C). <sup>1</sup>H NMR (CDCl<sub>3</sub> + 10 drops MeOH-d<sub>4</sub>): 4.08 (1H, br s, H-12b), 6.99 - 7.51 (4H, m, H-8, 9, 10, 11), 10.07 (1H, br s, NH). MS: 242 (M<sup>+</sup>), 226, 225 (100%), 224, 223, 197, 170, 169; exact mass: 242.1449 (calc. for  $C_{15}H_{18}N_2O$ : 242.1419).

Compound <u>2a</u> (prepared from compound  $2^{7}$ ) Y. 88%. Mp. 216 - 217°C. <sup>1</sup>H NMR (CDCl<sub>3</sub> + 5 drops MeOH-d<sub>4</sub>): 0.99 (3H, t, J=7.2 Hz, -CH<sub>3</sub>), 4.99 (1H, br s, H-2), 6.90 - 7.40 (4H, m, H-8, 9, 10, 11), 10.59 (1H, s, NH). MS: 268 (M<sup>+</sup>), 252, 249, 247, 170 (100%), 169; exact mass: 268.1588 (calc. for C<sub>17</sub>H<sub>20</sub>N<sub>2</sub>O: 268.1576).

Compound <u>3a</u> (prepared from compound  $\underline{3}^7$ ) Y. 78%. Mp. 188 - 189°C. <sup>1</sup>H NMR (CDCl<sub>3</sub> + 10 drops MeOH-d<sub>4</sub>): 0.93 (3H, def t, -CH<sub>3</sub>), 6.96 - 7.51 (4H, m, H-8, 9, 10, 11), 10.10 (1H, br s, NH). MS: 270 (M<sup>+</sup>, <1%), 254, 253 (100%), 223, 170, 169; exact mass: 270.1711 (calc. for C<sub>17</sub>H<sub>22</sub>N<sub>2</sub>O: 270.1732).

Compound <u>4a</u> (prepared from compound  $4^{24}$ ) Y. 57%. Mp. 167 - 168°C. <sup>1</sup>H NMR (CDCl<sub>3</sub> + 5 drops MeOH-d<sub>4</sub>): 0.97 [9H, s, -C(CH<sub>3</sub>)<sub>3</sub>], 4.09 (1H, br s, H-12b), 6.78 - 7.54 (4H, m, H-8, 9, 10, 11), 9.75 (1H, br s, NH). MS: 298 (M<sup>+</sup>), 282, 281, 225, 223 (100%); exact mass: 298.2066 (calc. for  $C_{19H_{26}N_{2}O}$ : 298.2045). Compound <u>5a</u> (prepared from compound  $5^{24}$ ) Y. 67%. Mp. 198 - 199°C. <sup>1</sup>H NMR (CDCl<sub>3</sub> + 7 drops MeOH-d<sub>4</sub>): 1.14 [9H, s, -C(CH<sub>3</sub>)<sub>3</sub>], 5.32 (1H, s, 3-H), 6.81 - 7.51 (4H, m, H-8, 9, 10, 11), 10.30 (1H, br s, NH). MS: 296 (M<sup>+</sup>), 280, 279, 278, 277 (100%), 170, 169; exact mass: 296.1898 (calc. for C<sub>19</sub>H<sub>24</sub>N<sub>2</sub>O: 296.1889). Compound <u>6b</u> (prepared from compound  $\underline{6}^7$ ) Y. 63%. Mp. 183 - 184°C. <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.83 (3H, def t, -CH<sub>3</sub>), 4.59 (1H, br s, H-12b), 7.00 - 7.63 (4H, m, H-8, 9, 10, 11), 12.10 (1H, br s, NH). MS: 270 (M<sup>+</sup>), 254, 253 (100%), 223, 170, 169; exact mass: 276.1723 (calc. for  $C_{17}H_{22}N_2O$ : 270.1732). Compound 7b (prepared from compound  $7^7$ ) Y. 71%. Amorphous material. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.93 (3H, t, J=7.2 Hz, -CH<sub>3</sub>), 1.65 [9H, s,-C(CH<sub>3</sub>)<sub>3</sub>], 4.85 (1H, br s, H-12b), 7.18 - 7.42 (3H, m, H-8, 9, 10), 7.99-8.13 (1H, m, H-11). MS: 370 (M<sup>+</sup> <1%), 354, 352, 297 (100%), 267, 253; exact mass (M<sup>+</sup> - 16): 354.2338 (calc. for  $C_{22}H_{30}N_2O_2$ : 354.2307). Compound <u>8b</u> (prepared from compound <u>8</u><sup>24</sup>) Y. 82%. Mp. 198 - 199°C. <sup>1</sup>H NMR (CDCl<sub>3</sub> + 2 drops MeOH-d<sub>4</sub>): 0.94 [9H, s, -C(CH<sub>3</sub>)<sub>3</sub>], 4.53 (1H, s, H-12b), 7.06 - 7.45 (4H, m, H-8, 9, 10, 11), 11.27 (1H, s, NH). MS: 298 (M<sup>+</sup>), 282, 281, 225, 223 (100%); exact mass: 298.2079 (calc. for  $C_{19}H_{26}N_2O$ : 298.2045). Compound <u>9b</u> (prepared from compound  $9^{24}$ ) Y. 100%. Amorphous material. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.88 [9H, s,  $-C(CH_3)_3$ ], 1.65 [9H, s,  $-O(CH_3)_3$ ], 4.40 (1H, br s, H-12b), 7.22 - 7.46 [3H, m, H-8, 9, 10), 7.94-8.20 (1H, m, H-11). MS: 382 (M<sup>+</sup> - 16, <1%), 325, 282, 281 (100%), 225, 223, 197; exact mass (M<sup>+</sup> - 16): 382.2625 (calc. for  $C_{24}H_34N_2O_2$ : 382.2620). Compound 10b (prepared from compound 10<sup>24</sup>) Y. 98%. Amorphous material. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.65 [9H, s, -C(CH<sub>3</sub>)<sub>3</sub>], 4.88 (1H, br d, J=10.2 Hz, H-12b), 7.15 - 7.51 (3H, m, H-8, 9, 10), 8.00 - 8.16 (1H, m, H-11). MS: 342 (M<sup>+</sup>), 326, 324, 269 (100%), 225, 223; exact mass: 342.1962 (calc. for C<sub>20</sub>H<sub>26</sub>N<sub>2</sub>O<sub>3</sub>: 342.1944). Compound <u>11b</u> (prepared from compound  $11^7$ ) Y. 97%. Amorphous material. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.93 (3H, def t,  $-CH_3$ ), 1.64 (9H, s,  $-C(CH_3)_3$ ), 4.83 (1H, br d, J=10.2 Hz, H-12b), 7.16 - 7.50 (3H, m, H-8, 9, 10), 8.05 - 8.17 (1H, m, H-11). MS: 354 (M<sup>+</sup> - 16, <1%), 352, 254, 253 (100%), 223, 170, 169; exact mass (M<sup>+</sup> - 16): 354.2304 (calc. for  $C_{22}H_{30}N_2O_2$ : 354.2307). Compound 12b (prepared from compound  $12^{24}$ ) Y. 92%. Amorphous material. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.93 [9H, s,  $-C(CH_3)_3$ ], 1.69 [9H, s,  $-O(CH_3)_3$ ], 4.96 (1H, d, J=10.8 Hz, H-12b), 7.17 - 7.55 (3H, m, H-8, 9, 10), 8.07 - 8.20 (1H, m, H-11). MS: 382 (M<sup>4</sup> - 16, <1%), 282 (100%), 281,

225, 223, 382.2620). 197; exact mass (M<sup>+</sup> - 16): 382.2625 (calc. for C<sub>24</sub>H<sub>34</sub>N<sub>2</sub>O<sub>2</sub>: Compounds <u>13a</u> and <u>13b</u> (prepared from compound  $13^7$ ) Y. 75% (a:b; 1:2). The components (13a and 13b) were separated with PLC (silica,  $CH_2Cl_2/MeOH$ , 90/10). Compound 13a (slightly contaminated with compound 13b). Amorphous material. TR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.08 (3H, t, J=7.2 Hz, -CH<sub>3</sub>), 4.70 (1H, br s, H-12b), 5.73 (1H, br s, H-2), 7.16 - 7.54 (3H, m, H-8, 9, 10), 7.87 - 8.10 (1H, m, H-11). MS: 368 (M<sup>+</sup>, <1%), 352, 350, 295, 293, 249, 247, 214, 170 (100%), 169; exact mass 368.2112 (calc. for  $C_{22}H_{28}N_2O_3$ : 368.2100). Compound 13b. Amorphous material. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.08 (3H, t, J=7.2 Hz, -CH<sub>3</sub>), 5.11 (1H, dd, J<sub>1</sub>=10.2 Hz, J<sub>2</sub>=6.3 Hz, H-12b), 5.47 (1H, br s, H-2), 7.16 -7.45 (3H, m, H-8, 9, 10), 7.94 - 8.11 (1H, m, H-11). MS: 368 (M<sup>+</sup>, <1%), 352 (<1%), 268, 252, 247, 186, <sup>25</sup> 170 (100%), 169; exact mass (M<sup>+</sup> - 16): 352.2159 (calc. for C<sub>22</sub>H<sub>28</sub>N<sub>2</sub>O<sub>2</sub>: 352.2151). Compounds <u>14a</u> and <u>14b</u> (prepared from compound  $\underline{14}^{24}$ ). Y. 83% (a:b; 1:1). The components (14a and 14b) were separated by column chromatography (alumina, CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 95/5) Compound 14a. Mp. 161-162°C. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.13 [9H, s, -C(CH<sub>3</sub>)<sub>3</sub>], 1.68 [9H, s,- $OC(CH_3)_3]$ , 4.68 (1H, d, J=8.4 Hz, H-12b), 5.37 (1H, br s, H-3), 7.16 - 7.45 (3H, m, H-8, 9, 10), 7.81 - 8.02 (1H, m, H-11). MS: 396 (M<sup>+</sup>), 380, 378, 323, 321, 278, 277 (100%), 170, 169; exact mass: 296.2376 (calc. for  $C_{24}H_{32}N_2O_3$ : 396.2413). Compound 14b (slightly contaminated with compound 14a). Amorphous material. IR: 1730 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.07 [9H, s, -C(CH<sub>3</sub>)<sub>3</sub>], 1.68 [9H, s, -OC(CH<sub>3</sub>)<sub>3</sub>], 5.11 (1H, dd,  $J_1$ =10.2 Hz,  $J_2$ =6.0 Hz, H-12b), 5.42 (1H, br s, H-3), 7.17 - 7.44 (3H, m, H-8, 9, 10), 7.84 - 8.20 (1H, m, H-11). MS: 396 (M<sup>+</sup>), 296, 278, 277, 221, 186 (100%), <sup>25</sup> 170, 169; exact mass: 396.2387 (calc. for C<sub>24</sub>H<sub>32</sub>N<sub>2</sub>O<sub>3</sub>: 396.2413). Compound 15b [prepared from compound 15, (vide supra)] Y. 100%. Amorphous material. IR: 1740 (C=O). <sup>1</sup>H NMR (CDCl<sub>3</sub>): 1.07 [9H, s, -C(CH<sub>3</sub>)<sub>3</sub>], 1.67 [9H, s, -OC(CH<sub>3</sub>)<sub>3</sub>], 5.58 (2H, br, H-2, 12b), 7.19 - 7.57 (3H, m, H-8, 9, 10), 8.11-8.28 (1H, m, H-11). MS: 396 (M<sup>+</sup>, <1%), 380, 378, 323, 321, 277, 275, 223, 221 (100%); exact mass: 396.2430 (calc. for C<sub>24</sub>H<sub>32</sub>N<sub>2</sub>O<sub>3</sub>: 396.2413). Compound <u>16b</u> [prepared from compound <u>16</u>, (vide supra)]. The second seco Compound <u>18a</u> (prepared from compound <u>187</u>) Y. 95%. Amorphous material. Y. 95%. Amorphous material. <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.97 (3H, t, J=7.2 Hz, -CH<sub>3</sub>), 5.53 (1H, br s, H-4'), 6.99 (1H, d, J=2.1 Hz, H-2), 7.06 - 7.52 (4H, m, H-4, 5, 6, 7), 11.27 (1H, s, NH). MS: 270 (M<sup>+</sup>, <1%), 254 (<1%), 252, 143 (100%), 127, 124, 115; exact mass (M<sup>+</sup> - 16): 254.1792 (calc. for  $C_{17}H_{22}N_2$ : 254.1783). Preparation of compounds 1b, 2a, 2b, 3b, 4b, 5a, 5b, 8b, and 16b General procedure: 1 Mmol of the corresponding BOC-protected N-oxide was stirred with 20 ml of HCOOH for 2 d. After evaporation, the mixture was neutralized with 2N  $Na_2CO_3$ , extracted with  $CH_2Cl_2$  and purified by column chromatography. Compound <u>1b</u> [prepared from compound <u>10b</u> (<u>vide supra</u>)] Y. 71%. Mp. 189°C (lit.<sup>9</sup> Mp. 212 - 213.5°C) <sup>1</sup>H NMR (MeOH-d<sub>4</sub>): 4.50 (1H, br s, H-12b), 7.08 - 7.54 (4H, m, H-8, 9, 10, 11), 10.73 (1H, br s, -NH). MS: 242 (M<sup>+</sup>), 226, 225 (100%), 197, 170, 169; exact mass: 242.1443 (calc. for  $C_{15}H_{18}N_2O$ : 242.1419). Compounds <u>2a</u> and <u>2b</u> [prepared from the mixture of compounds <u>13a</u> and <u>13b</u>] The components (<u>2a</u> and <u>2b</u>) were separated by column chromatography (alumina, CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 95/5). Compound 2a Y. 27%. Mp. 216 - 217°C. Analytical data were identical with those given above. Compound 2b Y. 48%. Mp. 179 -  $180^{\circ}$ C. <sup>1</sup>H NMR (CDCl<sub>3</sub> + 2 drops MeOH-d<sub>4</sub>): 0.93 (3H, t, J=7.2 Hz, -CH<sub>3</sub>), 5.36 (1H, br s, H-2), 6.95 - 7.36 (4H, m, H-8, 9, 10, 11), 10.97 (1H, s, NH). MS: 268 (M<sup>+</sup>), 252, 250, 249, 186 (100%),<sup>25</sup> 170, 169; exact mass: 268.1588 (calc. for C<sub>17</sub>H<sub>20</sub>N<sub>2</sub>O: 268.1576). Compound <u>3b</u> [prepared from compound <u>11b</u> (vide supra)] Y. 89%. Mp. 207°C. <sup>1</sup>H NMR (CDCl<sub>3</sub> + 3 drops MeOH-d<sub>4</sub>): 0.82 (3H, def t, -CH<sub>3</sub>), 6.83 - 7.50 (4H, m, H-8, 9, 10, 11), 12.33 (1H, br s, NH). MS: 270 (M<sup>+</sup>), 254 (100%), 253, 223, 170, 169; exact mass: 270.1729 (calc. for C<sub>17</sub>H<sub>22</sub>N<sub>2</sub>O 270.1732). Compound <u>4b</u> [prepared from compound <u>12b</u> (<u>vide supra</u>)] Y. 85%. Mp. 192 - 193°C. <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.68 (9H, s, -C(CH<sub>3</sub>)<sub>3</sub>), 6.93 - 7.54 (4H, m, H~8, 9, 10, 11), 12.71 (1H, s, NH). MS: 298 (M<sup>+</sup>), 282, 281 (100%), 225, 223, 197; exact mass: 298.2046 (calc. for C19H26N2O: 298.2045). Compounds 5a and 5b [prepared from the mixture of compounds 14a and 14b (vide supra)] The components (5a and 5b) were separated by column chromatography (alumina,  $CH_2Cl_2/MeOH, 95/5).$ Compound <u>5a</u>. Y. 38%. Mp. 198 - 199<sup>o</sup>C. Analytical data were identical with those given above. Compound <u>5b</u> Y. 37%. Mp. 177°C. <sup>1</sup>H NMR (CDCl<sub>3</sub>): 0.91 (9H, s,  $-C(CH_3)_3$ ), 5.16 (1H, s, 3-H), 6.91 - 7.43 (4H, m, H-8, 9, 10, 11), 12.38 (1H, s, NH). MS: 296 (M<sup>+</sup>), 280, 279, 278, 277, 186 (100%),<sup>25</sup> 170, 169; exact mass: 296.1857 (calc. for  $C_{19}H_24N_2O$ : 296.1889). Compound 8b [prepared from compound <u>9b</u> (vide supra)] Y.85%. Mp. 198 - 199<sup>o</sup>C. Analytical data were identical with those given above. Compound <u>16b</u> [prepared from compound <u>15b</u> (<u>vide supra</u>)] Y. 57%. Mp. 194 - 195°C. Analytical data were identical with those given above. Preparation of compound 6a Compound 2a (45 mg, 0.17 mmol) was catalytically (PtO2) hydrogenated to give

6a, which was purified by column chromatography (alumina, CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 95/5). MS: 270 (M<sup>+</sup>), 254, 253 (100%), 170, 169; exact mass: 270.1758 (calc. for C<sub>17</sub>H<sub>22</sub>N<sub>2</sub>O: 270.1732).

Attempt to prepare compounds <u>9a</u> and <u>8a</u> Compound <u>14a</u> (58 mg, 0.15 mmol) was catalytically (PtO<sub>2</sub>) hydrogenated. Normal work-up led to the isolation of compound 9. Y. 88%. Amorphous material. Analytical data were identical with those described earlier.<sup>24</sup> The desired compound  $\underline{9a}$ , indispensable intermediate for the preparation of compound 8a, was not detected in the reaction mixture.

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- The intense fragment peak at m/z 186 is probably formed through a 25. retro-Diels-Alder process taking place prior to the loss of the Noxygen. This fragmentation pattern seems to be characteristic for the <u>cis</u>-series of compounds with a  $\Delta^{2(3)}$  double bond (<u>cf</u>. compounds <u>2b</u>, 5b, 13b and 14b).